To: HIPAA Workgroup Participants December 5, 2001

From: Ken McKinstry DMH's CIO

Re: HIPAA Workgroup Meeting, December 17, 2001 at 1:30 PM

Enclosed is an Agenda for our next Health Insurance Portability and Accountability Act (HIPAA) Workgroup on Monday, December 17, at 1:30 PM, in the 714 P Street Auditorium. The Minutes from our prior meetings and any information I have received from the Sub-Workgroup leaders is also attached. Information is assembled in order of sub-groups and knowledge area. Any corrections would be appreciated. As noted in our Meeting, the main goal of the meeting is to ensure coordination and communication on the HIPAA components and issues.

At this meeting, in addition to the usual HIPAA topics we will discuss:

Status of HIPAA in the State Budgetary Process and possible steps to do with minimal resources Recent legislation.

Issue resolution process:

General departmental Status and issues

General MSA Process Status

Status on the development of the Office of HIPAA Implementation (OHI)

Ensure that we have representation in upcoming National Forums, and

Progress of the Sub-Workgroups.

Call for topics:

Do you have any questions you would like to have addressed in the meeting? Are there any topics that you would like to see more information on? Please pass this information to me at the e-mail address or phone number below.

For remote participants, you can participate by teleconference by dialing in to the meeting. For the State-Wide HIPAA Workgroup and County-State Issues Sub-Workgroup (at 12:00) Meetings: the Participant Code is: 931798 and the International Access/Caller Paid Dial In Number: (775)324-1092 (same numbers for all). Please send me your comments so we can continue to improve this feature of our meetings. We have continued to add lines to accommodate more participants. If several people from one site wish to dial in, please try to use one phone at one site rather than consume the lines available.

Please contact me via e-mail at Kmckinst@dmhhq.state.ca.us or at (916) 654-2466 if you have any questions on the HIPAA Workgroup.

Page 1 12/10/2001 11:34 AM

HIPAA Workgroup Meeting December 17, 2001, 1:30 PM

714 P Street Auditorium

	Topic	Presenter	Actions and Recent Developments
2	Introductions Review Agenda Office of HIPAA Implementation (OHI)	Ken McKinstry Burt Cohen, CHHS	Statewide workgroup objective: Ensure coordination, communication and planning among the various departments and our business partners as we implement HIPAA. Attendees: State, county, currently contracted vendors and business partners SB456 was signed Oct. 8, 2001 and filed with the Sec. Of St on Oct. 9, 2001. OHI sent the HIPAA assessment document to: ?? Departments Directors ?? Board & Commission Executive Office The HIPAA assessment due to OHI December 31, 2001. Initial Assessment – Overview – Therese Hart Organizational/contact chart of OHI Staff - handout
	Open Discussion: Possible steps and considerations with fewer resources Need for workgroup leaders in topic areas	Ken McKinstry Ken McKinstry	
3	MSA Workgroup	Judy Gelein, DHS	Still being developed. Reference www.dgs.ca.gov/PD For State or County Employees to volunteer for the MSA tasks call Pam at 324-4336.
4	Conferences	Ken McKinstry	Recent Conferences in CA Ensure CA representation in critical upcoming conferences.
5	Project Coordination - Website for issues and comments - Issues pending on Website	Ken McKinstry	Website for issues: 7/30 – Website presented for issue resolution process. 8/20 – Will discuss login and comment process. Recent News: Federal SB 836 Introduced 5/7/01. Proposes to delay implementation until 10/16/04 or until 2 years after all rules are approved except individual identifier. Washington DC is discussing 1, 2 and no year extensions to HIPAA timelines. Bill Braithwaite on Nov. 2, regarding schedules: Security rule and Employer Identifier rule by December 31, 2001, Health Plan Identifiers and Provider Identifiers should be published early in 2002 Draft regulation for electronic medical records for public review by the end of 2002 NPRM on Doctors First Report of Injury expected in 2002 "There is much work left to do" on the enforcement NPRM, release some time in 2002 Draft rule modifing Privacy Rule apparently on schedule for release December this year Websites: HIPAA Statewide and Sub-Workgroup meetings For a most current list of HIPAA Statewide and Sub-Workgroup meetings and contact information, go to: www.dmh.cahwnet.gov/hipaa2001/3.asp DMH Public HIPAA DMH Workgroup's main HIPAA Site DDS Private HIPAA Federal DHHS Workgroup's main HIPAA Site DDS Private HIPAA HIPAA Statewide www.dmh.cahwnet.gov/hipaa2001/default.asp

Page 2 12/10/2001 11:34 AM

	Topic	Presenter	Actions and Recent Developments
6	County Issues	Ray Reis, Sacramento Co Mike McDaniel, DHS	State Forums: Recent Developments Working on Website for issue resolution
7	Transaction and Code Sets Standards	Karen Weber of DHS	Final Rule and Revisions: Implement by 10/16/2002 Status and Issues:
8	Privacy, Confidentiality and Security Standards	Rachel Strider, Mary Pecci, and Shneor Sherman, DHS	Final Rule and Revisions: Rule published 12/20/00. Need compliance by 4/14/03.
	Legal Issues Fed vs State Law	David Smith	10/22 – Team was formed, lead by Dsmithc@co.sandiego.ca.us to consider the effort noted.
9	Unique Identifiers Standards (Provider, Employer and Health Plan)	Sara-Jane Gilb, DMH	Final Rule and Revisions: Rule estimated in 2001 (?) & compliance in 2003(?). Status and Issues: NPI (EIN needed by 837 Implementation date, 10/16/02. Issues: Discussed Provider Taxonomy and implications to us all. - There is a need for comments in the 30 day period coming up. - Role / implications of the Taxonomy on licensing functions and issues. - Provider Taxonomy Website is http://www.wpc-edi.com/taxonomy/
10	Communications	Marilyn VonBrauchitsch of LA Co. and Marchel Burgess of DHS	Status: Workgroup started. 10/22 – Therese Hart had to resign as the lead. A new leader is needed. Any volunteers???? Many thanks to Marilyn and Marchel for taking the lead of this Important workgroup!!!!!
11	Next Meeting Delta	Ken McKinstry, DMH	Future Meetings: NOTE ADDRESS CHANGES!!!!! Dec 17, from 1:30 to 4:00 pm at the 714 P Street Auditorium Jan 07, from 1:30 to 4:00 pm at the 714 P Street Auditorium
	Adjourn		Recommendations for Improved Meetings

For questions contact Ken McKinstry at (916) 654-2466 or kmckinst@dmhhq.state.ca.us

Page 3 12/10/2001 11:34 AM

Inter-Departmental Workgroups and Leads

1. Transactions and Code Sets Standards

Karen Weber 255-6025 Kweber@dhs.ca.gov
Marchel Burgess 255-5211 <u>Mburge10@dhs.ca.gov</u>
Terrie Williams 323-2058 Twilliams@adp.state.ca.us

2. Privacy, Confidentiality and Security Standards; Legal Issues

Rachel Strider 654-0629 Rstrider@dhs.ca.gov Mary Pecci 255-6008 mpecci@dhs.ca.gov

3. Unique Identifiers Standards

Sara Gilb 653-8788 Sgilb@dmhhq.state.ca.us

4. County – State HIPAA Issues

Ray Reis 875-9708 reisr@saccounty.net Mike McDaniel 657-1564 MmcDanie@dhs.ca.gov

5. Communications (External Interfaces)

Marilyn VonBrauchitsch (213) 381-8353 <u>Mvonbrauchitsch@dmh.co.la.ca.us</u>

Marchel Burgess (916) 255-5211 Mburge10@dhs.ca.gov

Page 4 12/10/2001 11:34 AM

Various Meetings

HIPAA Statewide and Sub-Workgroup meetings

For a most current list of HIPAA Statewide and Sub-Workgroup meetings and contact information, go to: www.dmh.cahwnet.gov/hipaa2001/3.asp

Upcoming Meetings:

11/28	2:00 PM EST, HANDS-ON HIPAA: Developing Your HIPAA Implementation Plan, 60-minute audio discussion, including presentation slides, by Phoenix Health Systems' Exec. Vice President and COO, Ken Kisiel http://www.hipaadvisory.com/ezcart/index.cfm				
11/29-30	Compliance in Medical Research and Academic Medical Centers Forum, Health Care Compliance				
	Association (HCCA), Doubletree Hotel, Anaheim, CA, www.hcca-info.org/documents/acad-comp.pdf				
12/2	NAHDO's Meeting for State Officials, Washington DC, www.nahdo.org				
12/2-4	Healthcare Information Security - HIPAA & Beyond, Medical Records Institute, Sheraton Baltimore,				
	MD, [Phoenix' Exec. Vice President, D'Arcy Guerin Gue, will be speaking, as well as				
	Director Tom Grove.], http://www.medrecinst.com/conferences/security/index.shtml				
12/3-4	NAHDO's 16th Annual Meeting, Washington DC, www.nahdo.org				
12/3-5	Health Care Compliance Assoc. Conference, San Diego www.hcca-info.org/html/conferences.html#HIPAAForumf				
12/3 -5	2nd Annual Privacy by Design 2001 Conference Zero-Knowledge, Fairmont The Queen Elizabeth				
	Hotel, Montreal, Quebec, Canada, http://privacy.zeroknowledge.com/privacybydesign2001/default.asp				
12/4-5	HCCA/AHA HIPAA Forum, San Diego, CA				
ongoing	A series of Health Care EDI Seminars are being offered by the Data Interchange Standards Assocation				
	(DISA) over the next several months in various locations throughout the U.S., see:				
	www.disa.org/SeminarSeries hcedi.cfm				
	NMHCC, Congress on Medicaid & Medicare, Washington, DC				
1/7-11	HL7-Jan. Working Gp. Mtg., San Diego, CA				
1/17-18	Getting Practical with Privacy, Southern Medical Assoc. and AHIMA component state associations, Call:				
1/17-20	(312) 233-1100, Sheraton Gateway Hotel, San Francisco International Airport, San Francisco, CA ASAP-Tech Conference, Charleston, SC				
1/17-20	HIMSS Annual Conference, Atlanta, GA				
1/30 - 2/1	2nd Annual Privacy & Data Security Summit, Privacy Officers Association, Hyatt Regency				
1/30 - 2/1	Washington on Capitol Hill, Washington, DC,				
	http://www.hipaadvisory.com/news/calendar/January2002.htm#privassn				
2/11-13	HARP HIPAA Training, Atlanta, GA				
2/21-22	National Association of Chain Drug Stores (NACDS) HIPAA Conference, Marriott Westfields near				
	Dulles Airport, outside of Washington, DC,				
	http://www.hipaadvisory.com/news/calendar/February2002.htm#nacds				
4/7-10	4th Annual International Techno-Security 2002 Conference (including a half-day HIPAA compliance				
	seminar), The Training Co., Wyndham Myrtle Beach Resort, Myrtle Beach, SC, see				
	www.techsec.com/html/HIPAA.html				
5/14-16/2002 WEDI 2002 National Conference, Hyatt Regency, Denver, Colorado, <u>www.wedi.org/public/calendar</u>					

NOTE: An "*" in the left column above notes that CA may want to ensure representation in the meeting.

Page 5 12/10/2001 11:34 AM